RCSS NOD-Dispute Scenarios

Scenario 1:

Preliminary Settlement Statement (PSS): Market participant disagrees with an item on the PSS for a given trading day and files a Notice of Disagreement (NOD) within 6 days of the publication of the PSS.

Final Settlement Statement (FSS): No adjustments for the NOD.

RCSS-1: No adjustments for the NOD.

RCSS-2: Decision letter for the NOD issued in advance of RCSS-2, and the adjustment appears on the RCSS-2 statement. The participant may:

a) Take no further action, in which case the NOD will reach a "Closed" state; or
b) Respond to the IESO's decision letter with clarifying comments/information[1], in which case the IESO will determine either:
   i. that further adjustments are necessary, in which case these further adjustments may appear on a subsequent statement (e.g. RCSS-3). If the participant is still not satisfied with the NOD resolution at this point, they may pursue the matter through the Dispute Resolution process[2]; or
   ii. that no further adjustments are necessary, in which case the participant may pursue the matter through the Dispute Resolution process[2]

[1]   The supplemental information provided must specifically relate to the issue that was the subject of the NOD. This would not be an opportunity to identify new items that weren't previously identified on time through the regular NOD process.

[2]   A NOD should not be filed for a settlement amount that is the resolution of a previously filed NOD. If the participant is not satisfied with the resolution of the original NOD, the matter should be resolved through the Dispute Resolution process.

Scenario 2:

RCSS-2: Market participant disagrees with a settlement amount on the RCSS-2 that is new or an adjustment to an amount that appeared on a previous statement for the trading day. They file a NOD within 6 days of the publication of the RCSS-2 statement. This settlement amount that is the subject of the NOD does not directly relate to a previous NOD filed by the participant.

RCSS-3: No adjustments for the NOD.

RCSS-4: Decision letter for the NOD issued in advance of RCSS-4, and the adjustment appears on the RCSS-4 statement. The participant may:

a) Take no further action, in which case the NOD will reach a "Closed" state; or

b) Respond to the IESO's decision letter with clarifying comments/information[1], in which case the IESO will determine either:

    i. that further adjustments are necessary, in which case these further adjustments may appear on a subsequent statement (e.g. RCSS-5). If the participant is still not satisfied with the NOD resolution at this point, they may pursue the matter through the Dispute Resolution process[2]; or

    ii. that no further adjustments are necessary, in which case the participant may pursue the matter through the Dispute Resolution process[2]