

This page sets out the instructions for completing the Prescribed Form: Evidence of Indigenous Community Participation (Capacity).

All capitalized or italicized terms used in these instructions and the Prescribed Form: Evidence of Indigenous Community Participation (Capacity), unless otherwise stated, have the meanings ascribed to them in the LLT(c) RFP.

INSTRUCTIONS APPLICABLE TO ALL PRESCRIBED FORMS:

- a. The first page of a Prescribed Form should be marked with the name of the LLT Capacity Project that is the subject of the Proposal. The Proponent should use the name given to the LLT Capacity Project in the Prescribed Form: Proponent Information, Declarations and Workbook (Capacity).
- b. This instruction page is not required to be submitted as part of the completed Prescribed Form.
- c. The Prescribed Form is required to be submitted electronically via email to the IESO at LLT.RFP@ieso.ca.
- d. Information provided in each Prescribed Form should be consistent with the information provided in the Proposal.
- e. If a field in the Prescribed Form is pre-populated with "As indicated in the Proposal Workbook", the Proponent is not to input any further information in such pre-populated field.
- f. Where the Prescribed Form has multiple pages, the pages of the Prescribed Form should be kept together in the Proposal in sequential order.
- g. Where a blank field for a section/page reference is provided in a Prescribed Form, enter the section/page reference of the Proposal where the substantiating evidence for that particular item can be found.
- h. Apart from the completion of any blanks, drop down lists, check boxes or similar uncompleted information in a Prescribed Form, no amendments may be made to the wording of a Prescribed Form.
- i. Each Prescribed Form must be completed in its entirety. Fields marked <if applicable> must be completed if applicable to the Proposal. If not applicable, they should be marked " Not Applicable".
- j. If a signature is required for a Prescribed Form, the Prescribed Form must be signed by a person with authority to bind the Proponent. The Prescribed Form may be printed, signed

and scanned, or may be signed digitally through Adobe (Digital ID, or Fill and Sign), Apple Preview or DocuSign.

- k. With the exception of this instruction page, instructions within a Prescribed Form will be enclosed in brackets.

**INSTRUCTIONS SPECIFIC TO THIS PRESCRIBED FORM: EVIDENCE OF INDIGENOUS
COMMUNITY PARTICIPATION (CAPACITY):**

- l. The receipt by the IESO of an attestation in the form set out in Exhibit C and the IESO's use thereof in the LLT(c) RFP evaluation process does not reflect or imply any acceptance or endorsement by the IESO of the contents of the attestations made therein, and does not reflect the IESO's views on the contents thereof.

[THE REMAINDER OF THIS PAGE HAS BEEN INTENTIONALLY LEFT BLANK]

Section 1 – Information of the Proponent and the LLT Capacity Project:

a.	Unique Project ID of the LLT Capacity Project: <input Unique Project ID>	
b.	Legal name of the Proponent: <input legal name of the Proponent>	
c.	Name of the LLT Capacity Project: <input name of the LLT Capacity Project>	

Section 2 – Proponent Indigenous Participation Level for Rated Criteria Points under Section 4.3(a) of the LLT(c) RFP:

a.	The Proponent is seeking to attain Rated Criteria Points under Section 4.3(a) of the LLT(c) RFP and the Proponent Indigenous Participation Level is equal to or more than 10%:	Yes, and the Proponent Indigenous Participation Level is comprised of Economic Interest in the Proponent that is held by (check all that apply): a First Nation Community; a Métis Community; AND/OR an Indigenous Holding Vehicle.
b.	Name(s) of the Indigenous Community(ies) and Indigenous Holding Vehicle(s), if applicable, holding the Economic Interest in the Proponent that is included in the Proponent Indigenous Participation Level:	As indicated in the Proposal Workbook
c.	Percentage of Economic Interest in the Proponent held by each Indigenous Community or Indigenous Holding Vehicle included under item b., as of the Proposal Submission Deadline:	As indicated in the Proposal Workbook
d.	The Proponent has submitted:	The Proposal includes the following: Attached to Exhibit A of this Prescribed Form, an organizational chart of the Proponent, together with evidence of applicable securities holdings reflecting the Economic Interest held by the Indigenous

		<p>Community(ies) or Indigenous Holding Vehicle(s) via copies of the securities register(s) of the applicable Person(s) (including those of any Indigenous Holding Vehicle or any other intermediary entities between an Indigenous Community and the Proponent);</p> <p>AND</p> <p>A statutory declaration of an officer of the Proponent in the form set out in Exhibit B to this Prescribed Form.</p>
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Section 3 – Local Indigenous Community Participation for Rated Criteria Points under Section 4.3(b) of the LLT(c) RFP:

a.	<p>The Project Site is located in whole or in part on</p> <ul style="list-style-type: none"> (i) the Indigenous Lands or (ii) lands within the treaty area, or the established or asserted traditional territory or homeland <p>in either case, of a participating Indigenous Community that holds an Economic Interest in the Proponent of at least ten percent (10%) and is included in the Proponent Indigenous Participation Level (including through an Indigenous Holding Vehicle, if applicable):</p>	<p>Yes</p>
b.	<p>The Proponent is seeking to attain Rated Criteria Points under Section 4.3(b) of the LLT(c) RFP:</p>	<p>Yes, and the Proposal includes an attestation of an individual with the authority to bind such Indigenous Community in the form set out in Exhibit C to this Prescribed Form.</p> <p>OR</p> <p>Yes, and an attestation is not required because the Project Site is located in whole or in part on such Indigenous Lands.</p>

[SIGNATURE PAGE FOLLOWS]

I hereby confirm that I am an individual with the authority to bind the Proponent and that, if applicable, by signing this form using electronic signature, I agree to the content, terms and conditions set out in the document on behalf of the Proponent.

PROPONENT NAME: _____

Per: _____

Print Name:

Print Title:

(I have authority to bind the Proponent)

Date Signed:

EXHIBIT A
ORGANIZATIONAL CHART AND SECURITIES REGISTERS

Note: Attach organizational chart and securities registers.

**EXHIBIT B
STATUTORY DECLARATION**

STATUTORY DECLARATION IN THE MATTER OF the Proposal from *<insert legal name of the Proponent>* _____ (the "**Proponent**"), under the IESO's LLT(c) RFP.

Capitalized terms not defined herein have the meanings ascribed to them in the LLT(c) RFP.

I, *<insert name of declarant>* _____, of the *<insert City/Town/Region etc.>* _____ of *<insert name of City/Town/Region etc.>* _____, in *<insert name of Province or State>* _____ DO SOLEMNLY DECLARE, on behalf of the Proponent, without personal liability, the following information:

1. I am the / an *<insert office held, e.g. president, director, etc.>* _____ of the Proponent and have knowledge of the matters herein described.
2. The organizational chart(s) and corresponding securities register(s) appended as Exhibit A to the Prescribed Form: Evidence of Indigenous Community Participation (Capacity) are accurate and complete in all material respects.

AND I MAKE THIS SOLEMN DECLARATION conscientiously believing it to be true, and knowing that it is of the same force and effect as if made under oath and by virtue of the *Canada Evidence Act*.

Name of Declarant	<p>Declared before me at <i><insert City, Town, Region, etc.></i> _____ of <i><insert name of City, Town, Region, etc.></i> _____ in the <i><Province/State></i> _____ of <i><insert name of Province or State></i> _____ this _____ day of _____, 20____</p> <p><u>OR</u></p> <p>Declared remotely before me by <i><insert name of declarant></i> _____ stated as being located in the <i><insert City, Town, Region, etc.></i> _____ of <i><insert name of City, Town, Region, etc.></i> _____ in the <i><Province/State></i> _____ of <i><insert name of Province or State></i> _____, before me at the <i><insert City, Town, Region, etc.></i> _____ of <i><insert name of City,</i></p>
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	<p><i>Town, Region, etc.></i></p> <p>_____ in the <Province/State> _____ of <insert name of Province or State>, this _____ day of _____, 20____, in accordance with O.Reg 431/20, Administering Oath or Declaration Remotely.</p>
Signature of Declarant	Name of Commissioner of Oaths, etc.
<p><i><Statutory declarations must be solemnly declared and signed before commissioners of oaths or similar officials (e.g. notary public).></i></p>	Signature of Commissioner of Oaths, etc.

EXHIBIT C
ATTESTATION IN RESPECT OF A LOCAL INDIGENOUS COMMUNITY

ATTESTATION IN THE MATTER OF the Proposal under the IESO’s LLT(c) RFP for *<insert legal name of Proponent>* _____ (the “**Proponent**”), in relation to the following LLT Capacity Project:

Name of the LLT Capacity Project: <i><input name of the LLT Capacity Project></i>	
Unique Project ID of the LLT Capacity Project: <i><input Unique Project ID of the LLT Capacity Project ></i>	
Eligible LDES Technology of the LLT Capacity Project: <i><input Eligible LDES Technology of the LLT Capacity Project></i>	
Maximum Contract Capacity of the LLT Capacity Project (in MW): <i><input the maximum Contract Capacity of the LLT Capacity Project (in MW)></i>	
Legal description and/or GPS coordinates of the LLT Capacity Project Site: <i><input the Legal description and/or GPS coordinates of the LLT Capacity Project Site></i>	

Capitalized terms not defined herein have the meanings ascribed to them in the LLT(c) RFP.

I, *<insert name of attestor>* _____, as representative of *<insert name of Indigenous Community>* _____ located in *<insert name of City/Town/Region>* _____ of Ontario attest, on behalf of *<insert name of Indigenous Community>* _____ the following information:

1. I am a duly elected or appointed official who represents the interests of *<insert name of Indigenous Community>* _____ and have the authority to bind the Indigenous Community for the purposes of the subject matter of this attestation.
2. The Project Site that the LLT Capacity Project is proposed to be located on (as described in the table above on this attestation form) includes lands that are **[select one or**

multiple] within *<insert name of Indigenous Community>*
_____ **'s traditional territory and/or**
within *<insert name of Indigenous Community>*
_____ **'s homeland and/or the subject of a**
treaty signed with *<insert name of Indigenous Community >*
_____.

I confirm that I make this attestation conscientiously believing it to be true. I acknowledge and agree that the IESO may rely on this attestation for purposes of the LLT(c) RFP.

INDIVIDUAL ATTESTOR SIGNATURE: _____

Print Name:

Print Title:

(I have authority to bind the Indigenous Community referenced above)

Date Signed:

SIGNATURE OF WITNESS: _____

Print Name:

Print Title:

Date Signed: