

Engagement Plan

IESO Market Rule Amendments Chapter 3, Confidentiality, Dispute Resolution and Enforcement

Introduction

The IESO's Market Assessment and Compliance Division (MACD) is engaging with stakeholders to discuss proposed Market Rule amendments to Chapter 3, Confidentiality, Dispute Resolution and Enforcement. These Chapter 3 amendments are being proposed with the aim to modernize and clarify rules related to some of the enforcement processes, including confidentiality and how it handles disputes under the IESO Dispute Resolution Process. These changes support a greater deterrence effect, transparency and increased clarity as well as efficiency.

In summary, the changes being proposed include:

- Minor clean-up (language/formatting/grammar)
- Changes to the confidentiality provisions to support enforcement actions
- Clarity around the IESO's broad right to gather information in its enforcement actions
- Changes to the financial penalty provisions, including an increase to the lower financial penalties table
- Consequential amendments to Market Manual 2.6

The IESO encourages all those interested to remain informed and active on this engagement. To be added to the distribution list for communications related to this engagement, or to submit comments and enquiries, please email engagement@ieso.ca.

Stakeholders and Communities

The IESO encourages all interested parties to participate. This initiative will be of particular interest to all market participants as it proposes amendments with direct impact to those who participate in the IESO-administered markets.

Engagement Objectives

The expected outcomes and results of this engagement include:

- All relevant stakeholders and market participants are involved in the discussion resulting in diverse perspectives for inclusivity
- Market participants and stakeholders have a clear understanding of proposed amendments
- Stakeholders and market participants are informed of decisions and processes and feedback is adequately addressed

Approach

This is a public engagement process and all materials will be posted on the dedicated IESO engagement webpage, and feedback provided by stakeholders will be posted (with their consent). The Engagement Plan will be implemented in accordance with the IESO’s approved engagement principles. The IESO will use the following tactics for engagement:

- Engagement Sessions (Public Meetings): The IESO will utilize engagement sessions to introduce the proposed amendments ahead of posting for broader stakeholder awareness.

Proposed Engagement Schedule

Anticipated timing for this engagement is presented below and subject to change:

Timing	Engagement Activity
November 2023	Public engagement to introduce the proposed amendments Posting of draft market rule amendments for feedback
December 2023	IESO Technical Panel education of proposed changes and overview of feedback received from stakeholders and market participants
January 2024 and onward	Implementation of Market Rule Amendments through the process of the IESO Technical Panel review, and IESO Board consideration of the amendments

Additional Background and Resources