

**APPLICATION FORM**

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**1**

All statements, specifications, data, information and confirmations ("HCI Contract Information") that you provide in this Application Form and Exhibit A will be part of the HCI Contract, if one is offered to you, and therefore legally binding to you.

When you enter into the HCI Contract you will be required to represent and warrant that the HCI Contract Information is complete, true and accurate, and that there is no material omitted which makes the HCI Contract Information misleading or inaccurate. Accordingly, please fill out the below Application carefully, and ensure that all such HCI Information provided below is complete, true and accurate.

Capitalized terms not defined herein have the meanings ascribed thereto in the HCI Standard Contract Guidelines (Version 1.3), and for the purposes of the Application, "IESO" refers to the Sponsor.

**2 SUPPLIER'S PRIMARY CONTACT DETAILS**

Primary Contact: \_\_\_\_\_

Mailing Address: \_\_\_\_\_ City/Town: \_\_\_\_\_

Province/State: \_\_\_\_\_ Country: \_\_\_\_\_ Postal/ZIP Code: \_\_\_\_\_

Email: \_\_\_\_\_ Phone Number: \_\_\_\_\_ Ext: \_\_\_\_\_

**3 GENERAL SUPPLIER INFORMATION**

a) Supplier's Legal Name: \_\_\_\_\_

b) The Supplier is:

an individual  a corporation  a partnership  a joint venture  Other: \_\_\_\_\_

c) Where the Supplier is a corporation, provide the names of the directors and officers; where the Supplier is a partnership, joint venture or other, provide the names of the directors, officers and partners as applicable.

\_\_\_\_\_

d) Is the Supplier a non-resident of Canada as defined in the Income Tax Act?  Yes  No

e) Is the Supplier a GST Registrant?  Yes  No

f) If Yes, provide the Supplier's GST Registration Number: \_\_\_\_\_

g) Provide the Supplier's Ontario Energy Board license number: \_\_\_\_\_

h) Will the Facility be the subject of a Secured Lender's Security Agreement?  Yes  No

i) If Yes, provide details:

\_\_\_\_\_

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Please note that the information you provide in this Application is preliminary information provided only to assist the IESO, and does not constitute notice for the purposes of section 11.1(d) of the HCI Contract. In order for the IESO to acknowledge the rights of the Secured Lender, you are required to comply with Article 11 of the HCI Contract.

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**MUNICIPAL ADDRESS OF FACILITY** (must be located in Ontario)

Mailing Address: \_\_\_\_\_ City/Town: \_\_\_\_\_

Province/State: \_\_\_\_\_ Country: \_\_\_\_\_ Postal/ZIP Code: \_\_\_\_\_

Legal Description of Location of Facility:  
\_\_\_\_\_

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**FACILITY REQUIREMENTS**

a) Facility Name: \_\_\_\_\_

b) Other name(s) for Facility and/or previous name(s):  
\_\_\_\_\_

c) Nameplate Capacity (MW): \_\_\_\_\_

d) Contract Capacity (MW): \_\_\_\_\_

e) Estimated Annual Generation (MWh): \_\_\_\_\_

f) Original In Service Date: \_\_\_\_\_

g) Is the Facility the subject of a contract with an agency of the Government of Ontario (including IESO and OEFC)?

Yes (current) If current, indicate expiry date: \_\_\_\_\_

Yes (expired) If expired, indicate expiry date: \_\_\_\_\_

No

h) If Yes, specify the agency:

IESO  OEFC  Other: \_\_\_\_\_

i) Technical Description of Connection Point:  
\_\_\_\_\_

j) Is the Facility connected to a Host Facility (behind-the-meter)?

Yes  No, the Facility is **directly** connected to the Distribution System or Transmission System

k) If Yes, name of Host Facility: \_\_\_\_\_

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|   |  |                        |
|---|--|------------------------|
| <b>7</b>  | <b>HOST FACILITY MUNICIPAL ADDRESS (if applicable)</b> |                        |
| Mailing Address: _____                          | City/Town: _____                                       |                        |
| Province/State: _____                           | Country: _____   | Postal/ZIP Code: _____ |
| Legal Description of Location of Host Facility: |  |                        |
| _____   |  |                        |

|   |   |
|---|---|
| <b>8</b>  | a) The Facility/Host Facility is connected to a:<br><input type="checkbox"/> Distribution System ( <i>Complete b-d, f</i> ) <input type="checkbox"/> Transmission System ( <i>Complete e, f</i> ) |
| b) Name of Local Distribution Company:  | _____   |
| c) Name on Local Distribution Company Account:  | _____   |
| d) Local Distribution Company Account Number:   | _____   |
| e) Registered Facility Number:  | _____   |
| f) Contact Information for Person responsible for settlement at LDC:<br>Contact Name: _____<br>Email: _____ | Phone Number: _____ Ext: _____  |

|  |                               |
|--|-------------------------------|
| <b>9</b>   | <b>ADDITIONAL INFORMATION</b> |
| a) Any other information that the Supplier believes the IESO should be aware of can be included below: |                               |
| _____  |                               |

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**EXHIBIT A DESCRIPTION OF FACILITY**

a) Overview (including original in service date, estimated annual generation (MWh)):

\_\_\_\_\_

b) Location of Facility (including the Longitude and Latitude coordinates for the Facility, the nearest population centre, river name):

i) Longitude and Latitude coordinates: \_\_\_\_\_

ii) Nearest population centre: \_\_\_\_\_

iii) River name: \_\_\_\_\_

c) Site description:

\_\_\_\_\_

d) Major Equipment (including number of generating units, turbine/runner types or models):

i) Number of generating units: \_\_\_\_\_

ii) Turbine/Runner Types: \_\_\_\_\_

iii) Turbine Manufacturers: \_\_\_\_\_

e) Environmental Features (including a description of features that mitigate environmental concerns, such as air quality, noise, water, sewage discharge, etc and a list of environmental approvals and permits and their status):

\_\_\_\_\_

f) Electrical Interconnection (including Single Line Diagram, Connection Agreement, circuit designation, name of substation):

\_\_\_\_\_

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g) Plant General Arrangement (including information on headworks, intake, powerhouse, trailrace, normal operating head, penstocks number, length, diameter, materials, storage capabilities and limitations):

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h) List of all Licences, Permits, Certificates, Registrations, Authorizations, Consents and Approvals issued by a Governmental Authority (which are required to operate and maintain the Facility):

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i) Photographs of the Facility (number and description of those provided):

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j) Public Contact Information for the Supplier (including Supplier website link, public relations contracts, if any):

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**CHECKLIST**

When submitting a hard copy Application to the IESO, the following supporting documents shall be included:

- LDC Authorization Letter (*reference Exhibit A of HCI Standard Contract Guidelines*).
- Metering Plan with all required submittals (*reference the template found in Exhibit F of HCI Standard Form Contract*).
- Long Term Operating Plan (**required if Facility >5MW**) (*reference the template found in Exhibit G of HCI Standard Form Contract*).
- Annual Operating Plan (**required if Facility >5MW**) (*reference the template found in Exhibit H of HCI Standard Form Contract*).
- Electronic copies of Connection Agreement, OEB Generation License, Proof of Ownership/Control, Existing Power Purchase Agreement, Permit to Take Water (*if your facility takes more than 50,000 litres of water per day*), and all other Permits and or Licenses as per Exhibit A part (h) of this Application.
- Provide up to ten (10) exterior and interior photos of the Facility (*email in .jpg format to [hciapplication@ieso.ca](mailto:hciapplication@ieso.ca)*).

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**MANDATORY ACKNOWLEDGEMENTS**

By submitting this Application:

- The Applicant represents and warrants that the information contained in the Application is complete, true and accurate.
- The Applicant represents and warrants that the information provided by the Applicant in the Application, that is not otherwise publicly available, shall be treated as confidential by the IESO, subject to the following:
  - i) the IESO may disclose the information to IESO's directors, officers, employees, counsel and advisors; the local distribution company, transmitters, distributors, the provincial government and their agents and advisors for the purposes of the Hydroelectric Contract Initiative (HCI),
  - ii) the IESO is subject to the Freedom of Information and Protection of Privacy Act (Ontario) (FIPPA) and may be required to disclose any information provided to it,
  - iii) the IESO may disclose any of the information contained in Exhibit A of the HCI Application Form on the IESO website,
  - iv) these provisions shall cease to have effect on execution of the HCI Contract by the Supplier and the IESO.
- The Applicant authorizes the collection by the IESO of the information set out in this Application and collected under the HCI, and the use of such information for the HCI and any incidental purposes.
- The Applicant consents to the IESO verifying with the Supplier or any third party, any information provided by the Applicant.
- The Applicant agrees that it is responsible for advising the IESO of any changes to any of the information provided by it in this Application.
- The Applicant represents and warrants that it has reviewed and understood the HCI Contract and HCI Standard Contract Guidelines and has taken such professional advice as it considers necessary. The Applicant represents and warrants that it meets all requirements to participate in the HCI, and agrees to comply with the requirements of the HCI Contract, if any HCI Contract is offered to it.
- The Applicant understands that it shall only become a Supplier under a HCI Contract if its Application is accepted and the Supplier and the IESO execute the HCI Contract.
- The Applicant agrees and acknowledges that the Applicant will bear all costs and expenses associated with preparing this Application and in the Applicant's participation in the HCI. The IESO shall not be liable for or be responsible for any costs or expenses incurred by the Supplier under any circumstances, including in the event of rejection or disqualification of the Application, or for cancellation of the HCI.
- The Supplier acknowledges that the IESO reserves the right, in its sole discretion, to accept or reject any Application, or to amend or cancel at any time all or part of the HCI.
- The Supplier agrees that all Applications shall become the property of the IESO, and shall not be returned to the Applicant.

|                            |                                      |
|----------------------------|--------------------------------------|
| Name <i>(please print)</i> | Signature                            |
| Title                      | DATED this _____ day of _____, 20__. |