Item #4

Compliance Guidance during COVID-19

RSSC Meeting

June 11, 2020

ieso
Independent Electricity System Operator

Overview

- March 2020 MACD Communication
- COVID-19 Forbearance Request Application

MACD Guidance on COVID-19

The March 27, 2020 “MACD Guidance to Market Participants on COVID-19” indicated the following temporary relief in order to support market participants as they maintain the reliable operation of critical electricity infrastructure:

- MACD extended the deadline for the 2020 self-certification submissions from April 13, 2020 to September 1st, 2020; self-certifications will be reissued 45 days prior to the September 1st deadline;
- All 2020 on-site audits were postponed until a date that will be determined and communicated to each affected market participant;
- The effects of the COVID-19 pandemic will be considered an acceptable reason for case-by-case non-compliance with reliability standard requirements involving periodic actions scheduled to take place between March 1, 2020 and July 31, 2020. Market participants should notify MACD of any such actions that were or will be missed during this period.

COVID-19 Forbearance Request Application

- Market participants that are in non-compliance or anticipate to be in non-compliance with reliability standards and market rules due to the impact of the COVID-19 pandemic, may request compliance relief under the provisions of “MACD Guidance to Market Participants Regarding COVID-19”;
- Market participants willing to request such a compliance relief, should contact MACD by email (macd@ieso.ca) to notify us of their request;
- MACD will subsequently send the “COVID-19 Forbearance Application” form to be filled out by market participant and send back to MACD (using the MACD Compliance Monitoring collaboration community of the IESO Portal);

COVID-19 Forbearance Request Application (Cont.)

The Forbearance application shall include the following information:

- The market participants, facilities and equipment affected by the potential non-compliance;
- For each of these market participants and facilities, the standards and requirements that were or will not be met;
- The reasons for the potential non-compliance and how do these reasons relate to the impacts of the COVID-19 pandemic;
- The specifics of each potential non-compliance, e.g. what activities were scheduled but were or will be missed (include dates);
- The actual and potential reliability impact of the non-compliance;
- The short term and longer term plans (include dates and activities) to mitigate the reliability impact of the potential non-compliance;
- The plans (include dates and activities) to remediate the potential non-compliance;
- The duration of the forbearance.

COVID-19 Forbearance Request Application (Cont.)

- MACD will assess the application and will communicate its decision in a letter to the applicant market participant.

QUESTIONS