

Program	Key Findings	2016 EM&V Recommendation	Impact	IESO Response
<b>Program Enabled/Spillover Savings</b>	Tracking and savings documentation data for Program-Enabled Saving/Spillover (PES) claims is incomplete.  The Program-Enabled/Spillover Savings (PES) initiative has historically acted as a loophole by which energy savings can be claimed with lower rigour/evidence required to substantiate the savings.	Refine and republish PES guidelines and an improved PES Claim Form to re-establish expectations for these claims and the claims process. In the guidelines, include explicit expectations for the data fields and supporting documentation required to substantiate savings claims and program attribution, along with guidelines for claim review and verification.	Medium	PES Guideline and Claim Form have been revised to include more clarity and detail regarding claim eligibility, supporting documentation requirements, and claim review and verification process. A webinar has been held to communicate these revisions to all LDCs.  Additionally, a technical reviewer has been engaged to review PES claims, ensure documentation is adequate to verify savings and program attribution, and report gross savings estimates.
<b>Program Enabled/Spillover Savings</b>	PES savings may accrue above and beyond spillover already captured by the net to gross (NTG) analysis conducted for other programs.	Engage a technical reviewer in the PES process to organize and process claims, and establish initial estimates of energy savings, peak demand reductions, measure lifetimes, and incremental lifecycle costs.	Medium	As above, a technical reviewer has been engaged to perform all of the tasks recommended by the IESO evaluation team here.
		Consider providing the PES claims to each evaluation team (ex. Retrofit Program) to reduce the possibility of double-counting spillover savings.	Low	While the possibility of double counting savings presents a low risk to overall program integrity, the IESO Evaluation team will explore the most effective ways of sharing information in order to reduce this risk. Details of PES claims where savings have been awarded will be shared with the relevant evaluator (e.g. the Business Programs evaluator for a PES claim attributed to Retrofit) so that they may cross reference awarded savings with their own spillover estimates.
		Establish an upper limit threshold for PES claims to reduce high number of claims participants make in an effort to claim savings without need of substantiation. If reducing the number of qualified projects and increasing the M&V requirements makes the initiative less economical for the LDCs, consider eliminating the PES initiative.	Medium	The IESO's aim is not to reduce the volume of claims per se, but to ensure that claims are being made with the appropriate level of supporting documentation. The improved clarity of eligibility and documentation requirements and the presence of the technical reviewer should alleviate this concern.
<b>Program Enabled/Spillover Savings</b>	There is considerable variance in the data fields and supporting documentation submitted to substantiate savings claims and program attribution, along with guidelines for claim review and verification and often the submitted materials are insufficient.	If IESO decides to continue the program, refine and republish PES guidelines and an improved PES Claim Form to re-establish expectations for these claims and the claims process.	Low	As above, PES Guidelines and Claim Form have been revised to address this concern.
<b>Program Enabled/Spillover Savings</b>	M&V requirements should be no less rigorous than the PSU or Retrofit program.	Revise PES M&V requirements to align with the program the claim is associated with, PSU or Retrofit.	Low	Expectations for documentation required to substantiate a PES claim have been much more clearly defined in the revised PES Guidelines.