This form is to be filled out by anyone who wishes to report an apparent breach of the *Market Rules* by a *market participant* or by the *IESO*. Please forward the form to:

The Independent Electricity System Operator

Station A, Box 4474

Toronto, Ontario

M5W 4E5

Fax No.: (905) 822-2928
Email Address: MACD@ieso.ca

**Subject: Non-Compliance Event Report**

All information submitted in this process will be used by the *IESO* solely in support of its obligations under the “Electricity Act, 1998”*,* the “Ontario Energy Board Act, 1998”,the “Market Rules” and associated policies, standards and procedures and its *licence*. All submitted information will be assigned the appropriate confidentiality level upon receipt.

Terms and acronyms used in this Form that are italicized have the meanings ascribed thereto in Chapter 11 of the “Market Rules”.

## Part 1 – General Information of The Party reporting the Alleged Breach)

| Organization Name:        |
| --- |
| *Market Participant/Metering Service Provider* No.:        |
| Address:        |
| City/Town:       Province/State:        |
| Postal/Zip Code:       Country:        |
| Fax No.: (   ) -    -      |
| Email Address:        |
| **Main Contact** |
| Name:        |
| Telephone No.: (   ) -    -     Fax No.: (   ) -    -      |
| Email Address:        |
| **Alternative Contact** |
| Name:        |
| Telephone No.: (   ) -    -     Fax No.: (   ) -    -      |
| Email Address:        |
| Preferred Contact:        |

## Part 2 – Information Regarding Alleged Breach of Market Rules

| Name of Non-Compliant Party:       |
| --- |
| Date/Time/Duration of Alleged Breach:        |
| **Nature of Alleged Breach**Describe in detail the alleged breach.      |

## Part 2 – Information Regarding Alleged Breach of *Market Rules* (Continued)

| Market Rule Allegedly Breached |
| --- |
| *Market Rules* Chapter:       Section Number(s):        |
| *Were remedial actions taken? If actions were taken, please describe in detail:* |

## Part 2 – Information Regarding Alleged Breach of *Market Rules* (Continued)

| **Estimate of Impact of Alleged Breach**Provide qualitative or quantitative estimate (with supporting information) of the impact of the alleged breach on either the IESO-Controlled Grid, IESO Administered Markets, or to market participants other than the non-compliant participant, if possible.       |
| --- |

| Part 3 – Certification  |
| --- |
| The reporter hereby declares that the information contained in and submitted in support of this document is, to the best of the reporter’s knowledge, complete and accurate.  |
|       Name      Title |
|       Organization |
|       Date |